

# ICEA LION UNIT TRUSTS – REDEMPTION/SWITCH FORM

CLIENT ACCOUNT CODE.....

First (or only) Applicant

Second Applicant (for joint a/c)

Client Name



ID or Passport



## REDEMPTION INSTRUCTIONS

| FUND NAME              | Tick                     | Amount (Ksh)                              | Number of Units                           | All units (tick)                          |
|------------------------|--------------------------|---|---|---|
| ICEA LION Money Market | <input type="checkbox"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> |
| ICEA LION Equity Fund  | <input type="checkbox"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> |
| ICEA LION Growth Fund  | <input type="checkbox"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> |
| ICEA LION Bond Fund    | <input type="checkbox"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> |

## SWITCHING INSTRUCTIONS

|             | FUND NAME                                 | AMOUNT (Ksh)                              | Number of Units                           | All Units (tick)                          |
|-------------|---|---|---|---|
| <b>FROM</b> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> |
| <b>TO</b>   | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> | <input style="width: 100%;" type="text"/> |

## REASONS FOR REDEMPTION/SWITCH

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## GENERAL TERMS AND CONDITIONS

1. Switches/Redemptions will be executed at the price of the dealing day in which they are received and will be effected within five working days.
2. Switches from a fund without an initial fee (Money Market Fund) to a fund with an initial fee (Equity, Growth or Bond fund) will attract the initial fee charged for that fund.
3. Unit Holders are allowed one free switch a month and unit holders may be charged for more than one switch a month. This excludes switches from the money market fund as described in clause (2) above
4. Money will be sent directly to the account number on record unless the client specifies a change of bank details. A permanent change of bank will have to be accompanied by a copy of bank statement or cancelled cheque leaf as evidence of bank details and a separate form signed.

## FUND DESCRIPTION

### ICEA LION EQUITY FUND

Suitable for investors seeking capital growth. Invests primarily in listed companies on the Nairobi Securities Exchange. Long term investment horizon (3-5 years) Risk level-Medium-High

#### **Fee Structure**

Initial Fee – 5%  
Management Fee – up to 2% per annum

### ICEA LION BOND FUND

Suitable for investors seeking more capital stability that equity markets. Invests primarily in diversified bond portfolio Medium term investment horizon (2-3 years) Risk level-Medium-High

#### **Fee Structure**

Initial Fee – Up to 2%  
Management Fee – up to 2% per annum per annum

### ICEA LION MONEY MARKET FUND

Suitable for investors seeking liquidity, interest, and capital preservation. Invests primarily in interest bearing assets. Short term investment horizon. Risk level-low

#### **Fee Structure**

Initial Fee – 0%  
Management Fee – up to 2% per annum

### ICEA LION GROWTH FUND

For investors seeking a balanced portfolio. Invests primarily in listed shares and Interest bearing assets. Medium-long term investment horizon (3-5 years) Risk level-Medium-High

#### **Fee Structure**

Initial Fee – 5%  
Management Fee – up to 2% per annum

## DECLARATIONS

I/we give notice to sell the units stated above and confirm that I/we have no further interest therein with regards to rights, title and interest in the said units.

I/we understand that the switch (s) above will be executed immediately after the receipt of the complete switch form and will be subject to any initial fees applicable to the fund being switched into.

I/we take full responsibility for the switch and choice of any investments made by me/us after fully understanding the funds' objectives, risk levels, income distribution and all charges as set out in the funds' prospectus and brochures.

I/we understand that the value of my/our investments is not guaranteed and that asset values may fall as well as rise in line with fluctuations in investment markets.

Name ..... Name.....

Signature..... Signature.....

Date..... Date.....



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